General information about company	
Scrip code	532989
NSE Symbol	BAFNAPH
MSEI Symbol	NOTLISTED
ISIN	INE878I01022
Name of the company	BAFNA PHARMACUETICALS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits		
Particular	**Approved limits (%)**	**Limits utilized (%)**
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	21294408			21294408	90.02	21294408		21294408	90.02
(B)	Public	7192	2361927			2361927	9.98	2361927		2361927	9.98
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	7199	23656335			23656335	100	23656335		23656335	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				90.02					21294408			
(B)	Public				9.98					2353887	0	0	0
(C)	Non Promoter- Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					23648295	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	104260			104260	0.44	104260		104260	0.44
(d)	Any Other (specify)	2	21190148			21190148	89.57	21190148		21190148	89.57
Sub-Total (A)(1)		7	21294408			21294408	90.02	21294408		21294408	90.02
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	21294408			21294408	90.02	21294408		21294408	90.02
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	1	10			10	0	10		10	0
Sub-Total (B)(2)		1	10			10	0	10		10	0
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(f)	Investor Education and Protection Fund (IEPF)	1	2137			2137	0.01	2137		2137	0.01
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	6831	781791			781791	3.3	781791		781791	3.3
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	11	545460			545460	2.31	545460		545460	2.31
(i)	Non Resident Indians (NRIs)	74	114242			114242	0.48	114242		114242	0.48
(l)	Bodies Corporate	55	666476			666476	2.82	666476		666476	2.82
(m)	Any Other (specify)	219	251811			251811	1.06	251811		251811	1.06
Sub-Total (B)(4)		7191	2361917			2361917	9.98	2361917		2361917	9.98
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		7192	2361927			2361927	9.98	2361927		2361927	9.98
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		7199	23656335			23656335	100	23656335		23656335	100
Total (A+B+C)		7199	23656335			23656335	100	23656335		23656335	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				0.44					104260			
(d)				89.57					21190148			
Sub-Total (A) (1)				90.02					21294408			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				90.02					21294408			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(d)				0					10	0	0	0
Sub-Total (B) (2)				0					10	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(f)				0.01					2137	0	0	0
(g)				3.3					774251	0	0	0
(h)				2.31					545460	0	0	0
(i)				0.48					114242	0	0	0
(l)				2.82					665976	0	0	0
(m)				1.06					251811	0	0	0
Sub-Total (B) (4)				9.98					2353877	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				9.98					2353887	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					23648295			
Total (A+B+C)				100					23648295			
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information(1)				

Text Block	
Textual Information()	The company was under Corporate Insolvency Resolution Process ('CIRP') as per the IBC, 2016. Pursuant to the order passed by NCLT, Chennai allotment has been made to SRJR Lifesciences LLP (Promoter). The company has given intimation to BSE and NSE on March 08, 2022 for open market sale and steps to achieve MPS has been initiated.

Individuals/Hindu undivided Family						
Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	P PARAS BAFNA	NAVEENBAFNA M	BAFNA MAHAVEER CHAND	SASIKALA BAFNA	PARAS BAFNA	Click here to go back
PAN (II)	AASPB2717M	APIPB8787J	AACPB6411G	AASPB2718E	AAEHP3540E	Total
No. of fully paid up equity shares held (IV)	50000	41911	10534	1766	49	104260
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	50000	41911	10534	1766	49	104260
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.21	0.18	0.04	0.01	0	0.44
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	50000	41911	10534	1766	49	104260
Class eg:y						
Total	50000	41911	10534	1766	49	104260
Total as a % of Total Voting rights	0.21	0.18	0.04	0.01	0	0.44
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.21	0.18	0.04	0.01	0	0.44
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	50000	41911	10534	1766	49	104260
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	

Any Other (specify)			
Searial No.	1	2	
Category	Bodies Corporate	Director or Director's Relatives	Click here to go back
Name of the Shareholders (I)	SRJR LIFESCIENCES LLP	AMRI BAI BAFNA	
PAN (II)	AECFS1208Q	ABAPB0733A	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	21188159	1989	21190148
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	21188159	1989	21190148
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	89.57	0.01	89.57
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	21188159	1989	21190148
Class eg:y			
Total	21188159	1989	21190148
Total as a % of Total Voting rights	89.57	0.01	89.57
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	89.57	0.01	89.57
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	21188159	1989	21190148
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter	

Bodies Corporate		
Searial No.	1	
Name of the Shareholders (I)	MONARCH NETWORTH FINSERVE PRIVATE LIMITED	Click here to go back
PAN (II)	AAACR4982K	Total
No. of fully paid up equity shares held (IV)	318254	318254
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	318254	318254
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.35	1.35
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	318254	318254
Class eg:y		
Total	318254	318254
Total as a % of Total Voting rights	1.35	1.35
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.35	1.35
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	318254	318254
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)			
Searial No.	1	2	
Category	Clearing Members	HUF	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	3	216	219
No. of fully paid up equity shares held (IV)	146	251665	251811
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	146	251665	251811
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.06	1.06
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	146	251665	251811
Class eg:y			
Total	146	251665	251811
Total as a % of Total Voting rights	0	1.06	1.06
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.06	1.06
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	146	251665	251811
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

significant beneficial owners														
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of t the reporting company, whether direct o			
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control
1	MAHAVEER CHAND BAFNA	AACPB6411G		India		SRJR LIFESCIENCES LLP	AECFS1208Q		India		89.6	89.6	89.6	Yes
										Total:	**89.6**	**89.6**	**89.6**	
2	SRINIVASARAJU CHINTALAPATI	ACPPC2768Q		India		SRJR LIFESCIENCES LLP	AECFS1208Q		India		89.57	89.57	89.57	Yes
										Total:	**89.57**	**89.57**	**89.57**	
3	JYOTHI RAJU CHINTALAPATI	ACQPC8679M		India		SRJR LIFESCIENCES LLP	AECFS1208Q		India		89.57	89.57	89.57	Yes
										Total:	**89.57**	**89.57**	**89.57**	